

## Auditing IT: Identifying Exposures

### Synopsis

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Recent newspaper stories concerning identify theft and vital records exposure are causing concerns in boardrooms across the country. How could these things happen at SOX-compliant companies? The answer is that the SOX effort focused primarily on applications and transactions. Testing at the operating system and database level focused primarily on general controls. This seminar is intended for auditors who now want to make the extra effort to ensure the systems and databases hosting SOX-compliant applications are secure. Using the Canaudit methodology, security is analyzed using a combination of scripts, tools and exploits to identify weaknesses before they can be exploited by an attacker.

### Who Should Attend

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This seminar is intended for IT Auditors, Integrated Auditors and Audit Management.

### Learning Objectives

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At the end of this course, participants will be able to:

- Understand components of internal controls as it relates to Sarbanes-Oxley.
- Describe the processes and procedures the auditor undertakes to gain a sufficient understanding of internal controls required by SOX.
- Identify common internal controls, control environment structures and control risk in financial reporting.
- Evaluate, respond to, communicate and document risks and procedures related to internal controls.

### Prerequisites

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A basic understanding of general controls is required.

### Course Policies

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For registration, cancellation, refunds or other concerns please contact our office at (800) 774-1717.

Duration: 1 Day  
CPE Hours: 8  
Delivery Method: Group-Live  
Format: Lecture  
Program Level: Intermediate  
Advanced Preparation: None



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